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PTO/SB/21 (08-03)

Approved for use through 08/30/03. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/406,473	
	Filing Date	September 27, 1999	
	First Named Inventor	Stephen D. Pacetti	
	Group Art Unit	3763	
	Examiner Name	Loan H. Thanh	
Total Number of Pages in This Submission	7	Attorney Docket Number	50623.00008

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Deposit Account 07-1850 Authorization	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Postage Paid Return Postcard	<input type="checkbox"/> Drawing(s) In/Formal ___ Sheets with Submission of Drawings Transmittal	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Response to Office Action (pages)	<input type="checkbox"/> Issue Fee Transmittal with PTO-85b (in duplicate)	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input checked="" type="checkbox"/> Request for Continued Examination Transmittal (RCE) (in duplicate) (2 pages)	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Amendment Transmittal Letter (in duplicate) (2 pages)	<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) (2 pages)	<input type="checkbox"/> Request for Status of Application
<input type="checkbox"/> Statement of Common Ownership	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Petition for Extension of Time (Two months) (in duplicate) (2 pages)	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement (in duplicate) with Form PTO-1449 and ___ References	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Express Mail Label No. EV 765 048 868 US	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Squire, Sanders & Dempsey L.L.P. Mark Lupkowski, Ph.D., Reg. No. 49,010
Signature	
Date	June 15, 2006

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Yayoi Barrack		
Signature		Date	June 15, 2006

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FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1240.00

Complete if Known

Application Number	09/406,473
Filing Date	September 27, 1999
First Named Inventor	Stephen D. Pacetti
Examiner Name	Loan H. Thanh
Art Unit	3763
Attorney Docket No.	50623-00008

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order

☒ Deposit Account:

Deposit Account Number: 07-1850

Deposit Account Name: Squire, Sanders & Dempsey L.L.P.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE	
Large Entity	Small Entity
Fee Code	Fee Code
Fee (\$)	Fee (\$)
Fee Description	Fee Paid
1001 300	2001 150
Utility filing fee	
1002 200	2002 100
Design filing fee	
1003 200	2003 100
Plant filing fee	
1004 300	2004 150
Reissue filing fee	
1005 200	2005 100
Provisional filing fee	
SUBTOTAL (1)	
(\$)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 16 -99 ** = 0 X 50 = 0

Independent Claims 1 -3 ** = 0 X 200 = 0

Multiple Dependent X = 0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202 50		2202 25		Claims in excess of 20	
1201 200		2201 100		Independent claims in excess of 3	
1203 360		2203 180		Multiple dependent claim, if not paid	
1204 200		2204 100		** Reissue independent claims over original patent	
1205 50		2205 25		** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$) 0			

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051 130		2051 65		Surcharge - late filing fee or oath	
1052 50		2052 25		Surcharge - late provisional filing fee or cover sheet.	
1053 130		1053 130		Non-English specification	
1801 790		2801 395		Request for continued examination (RCE)	790.00
1804 920*		1804 920*		Requesting publication of SIR prior to Examiner action	
1805 1,840*		1805 1,840*		Requesting publication of SIR after Examiner action	
1251 110		2251 55		Extension for reply within first month	
1252 430		2252 215		Extension for reply within second month	450.00
1253 980		2253 490		Extension for reply within third month	
1254 1,530		2254 765		Extension for reply within fourth month	
1255 2,080		2255 1,040		Extension for reply within fifth month	
1401 340		2401 170		Notice of Appeal	
1402 340		2402 170		Filing a brief in support of an appeal	
1403 300		2403 150		Request for oral hearing	
1451 1,510		1451 1,510		Petition to institute a public use proceeding	
1452 110		2452 55		Petition to revive - unavoidable	
1453 1,370		2453 685		Petition to revive - unintentional	
1501 1,370		2501 685		Utility issue fee (or reissue)	
1502 490		2502 245		Design issue fee	
1503 660		2503 330		Plant issue fee	
1460 130		1460 130		Petitions to the Commissioner	
1807 50		1807 50		Processing fee under 37 CFR 1.17 (q)	
1806 180		1806 180		Submission of Information Disclosure Stmt	
8021 40		8021 40		Recording each patent assignment per property (times number of properties)	
1809 790		2809 395		Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 790		2810 395		For each additional invention to be examined (37 CFR § 1.129(b))	
1801 790		2801 395		Request for Continued Examination (RCE)	
1311 200		2311 100		Patent Examination Fee	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1240.00

SUBMITTED BY

Name (Print/Type)	Mark Lupkowski Ph.D.	Registration No. (Attorney/Agent)	49,010	Telephone	(415) 954-0200
Signature				Date	June 15, 2006

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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